



Sector#

PATENT  
450100-02608

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hideki TAKAYASU et al.  
Serial No. : 09/611,896  
Filed : July 7, 2000  
For : PRICE FLUCTUATION PREDICTION DEVICE AND  
PREDICTING METHOD, PRICE FLUCTUATION WARNING  
DEVICE AND METHOD, AND PROGRAM PROVIDING  
MEDIUM  
Art Unit : 2761

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on November 17, 2000

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative

Signature

November 17, 2000

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated October 4,  
2000 on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.

PATENT  
450100-02608

Please charge any additional fees incurred or credit  
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the  
identified application is now complete. Early examination of the  
application on its merits is solicited.

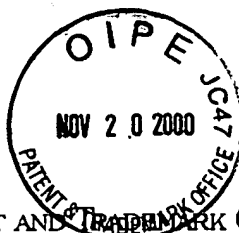
Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: *Glenn F. Savit*

Glenn F. Savit  
Reg. No. 37,437  
Tel. (212) 588-0800

Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/611,896	07/07/2000	Hideki Takayasu	450100-02608

William S Frommer Esq  
Frommer Lawrence & Haug LLP  
745 Fifth Avenue  
New York, NY 10151

## FORMALITIES LETTER



\*OC000000005452609\*

Date Mailed: 10/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

11/24/2000 WKDROMA 00000023 09611896

01 FC:105

130.00 OP